



1. Project Contract No.:	2. Project Location:	3. Audit Date:	4. Audit No.:
200753	Area: Location: Sheringham NB		
5. Subject:	6. AFR: Number:	7. Drafted By:	8. CAP Date:
9. Responsible Authority:	10. Reviewed By:	11. Status:	12. Status Date:
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5 "Whys?" Analysis

Requirement: All contractual obligations and procedures must be met. OSHA30 required for consultants and safety supervisors, otherwise OSHA10 is required for all other individuals (construction workers, etc.). All individuals must have their Identification Cards (IDs) on them with their OSHA cards / certifications.

Description of Nonconformance: Continued failure to ensure all personnel possess an OSHA10/30 Certifications and Identification. Under the oversight of Mr. Montemayor personnel was allowed to enter site and begin work without OSHA10/30 Certification/Card and Identification.

Why 1: Why did personnel enter the site and begin work without OSHA10/30 Certification/Card and Identification?

Due to poor communication from superintendent (now former) to new employees in terms of required procedures.

Why 2: Why was there lack of communication?

Because former Superintendent forgot to inform the new employees prior to them coming on site that they must have their IDs and OSHA credentials on their person.

Why 3: Why did the former Superintendent forget?

Because he may have signs of early undiagnosed dementia

A. Root Cause: *Lack of communication from former Superintendent in which he forgot to inform new employees of requirements that they must have their credentials prior to entering the site every day.*

B. Actions taken to correct the specific findings:

Individuals lacking their credentials (ID & OSHA) were sent home to retrieve it and were checked when they returned 20 minutes later.

C. Actions taken to prevent recurrence of the finding:

A Project Coordinator is on-site daily to make sure all documents and credentials (OSHA, IDs, etc.) are on every individual on site every morning. As an extra precaution, the Project Coordinator sends all supporting documents to the office personnel every morning. Any individual who lacks any credentials will be sent off site until they retrieve the correct documentations.

D. Actions taken to determine if other areas have similar conditions: *N/A*

E. Corrective action milestone schedule associating each action with a date:

The corrective action for NCR 6 was taken care of immediately (same day). A Project Coordinator has been assigned to check all documents of every individual every morning.